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# **Evolutionary Design of PMSM Machines**

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Grainger Seminar Series

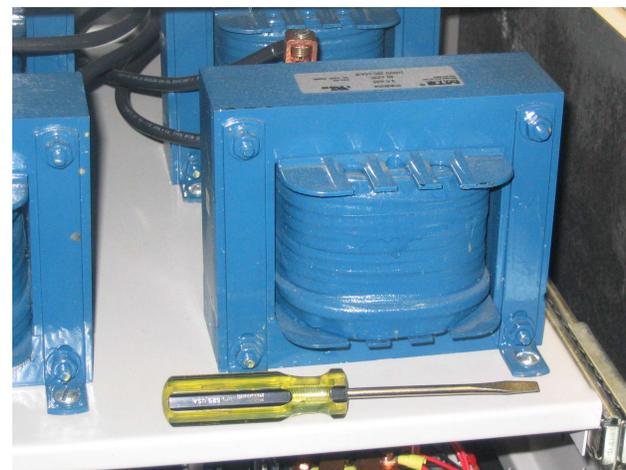
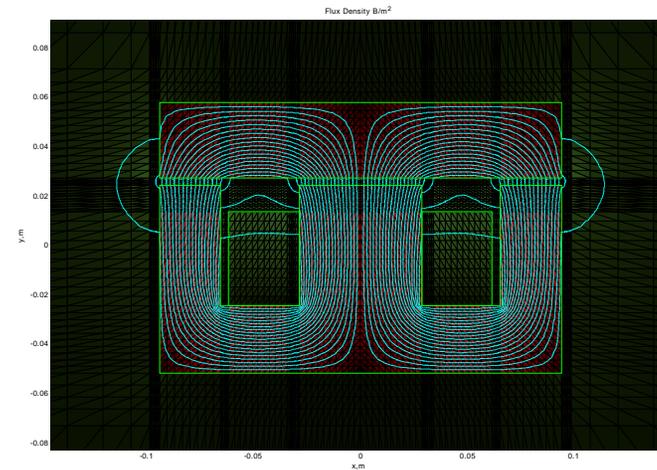
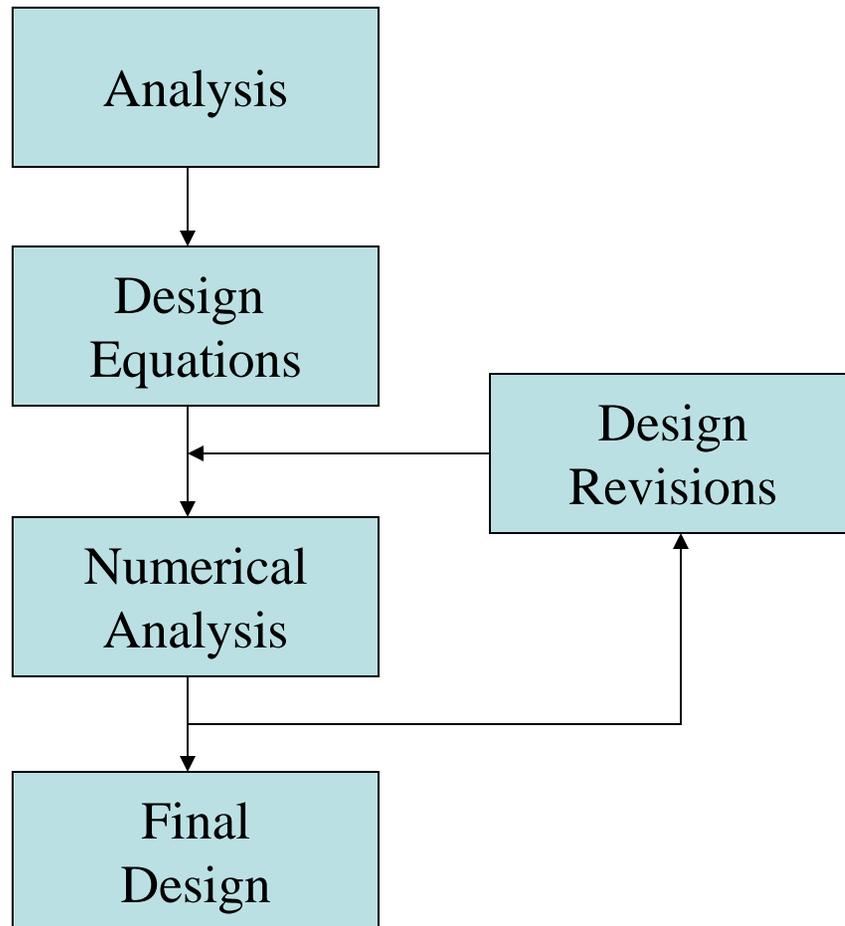
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# Acknowledgements

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- Office of Naval Research
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  - **Dr. Brandon Cassimere (Exxon)**
  - **Mark Snyder (Purdue Undergraduate)**

# Manual Design Approach



# Optimization Based Design and Analysis (Evolutionary or Otherwise)

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- Pose Design Problem As An Optimization Problem
  - Can be single or multi-objective
  - Systematically encode design constraints and objectives into objective (fitness) function
- Reality Check: Problem Properties Not Always Friendly
  - Not differentiable
  - Not convex
  - Many local extrema
  - No unique global optimum

# Optimization Methods

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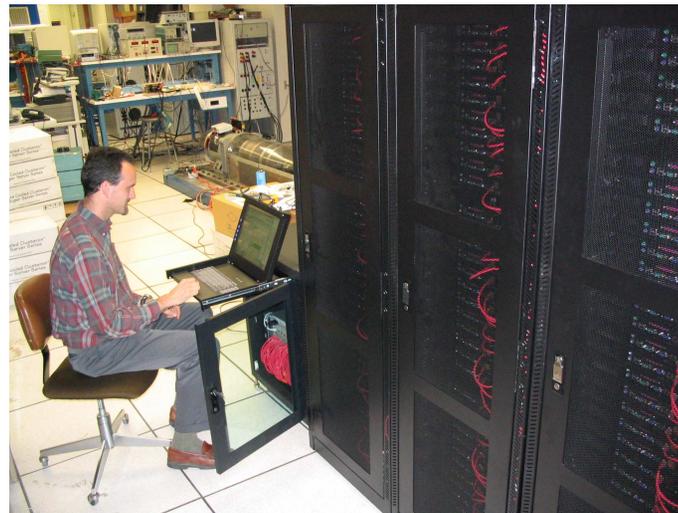
- Classic Methods
  - Gradient Methods
  - Newton's Method
  - Conjugate Direction Methods
  - Quasi-Newton Methods
  - Nelder-Mead Simplex Method
- Populations Based Methods
  - Population Based Classical Methods
  - Monte-Carlo
  - **Genetic Algorithms**
  - Particle Swarm Algorithms

# Evolutionary Design Approach

Evolutionary  
Environment

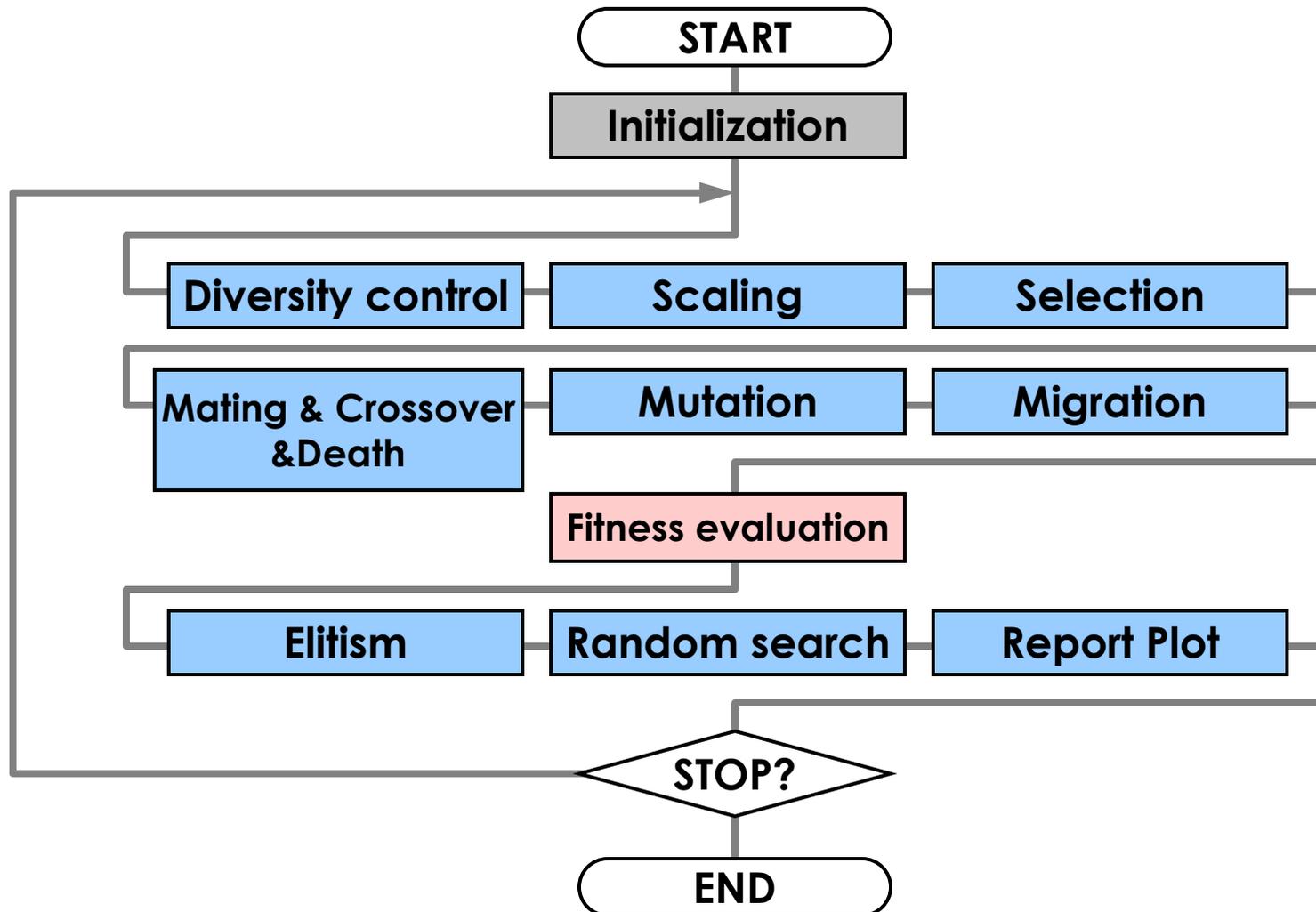
Detailed  
Analysis

Fitness Function



# Modern (Real Coded) GA Execution

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# Facts of Life in Design Optimization

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- On finding the global optimum ....
- On design repeatability ....

# Analysis Options

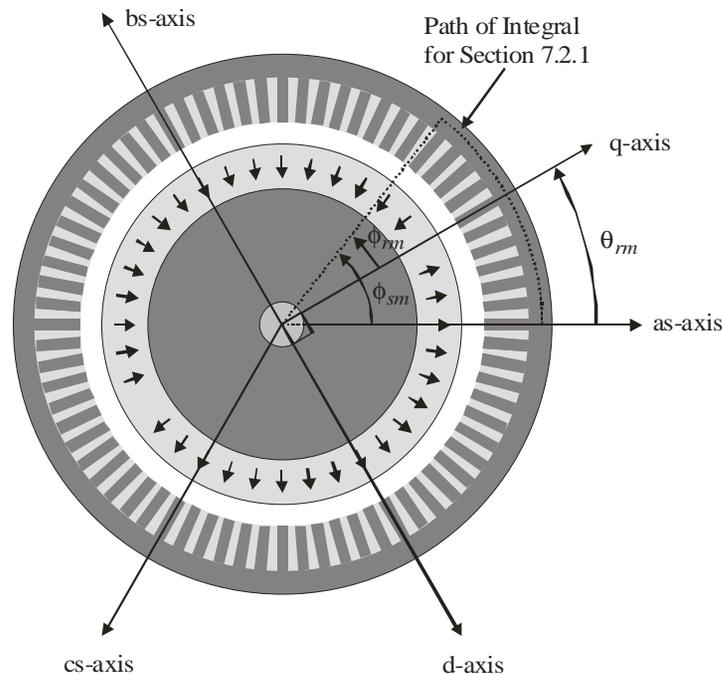
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- The hard part is predicting the magnetics (the incremental inductance).
- Options include
  - Analytical methods
  - Magnetic equivalent circuits
  - High fidelity magnetic equivalent circuits
  - 2-D Finite element analysis
  - 3-D Finite element analysis

# Example: Design of a Permanent Magnet Synchronous Machine

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- Specifications
  - 10 Nm at 2000 RPM with an RMS current of 10 A
  - The machine should be as torque dense as possible subject to a loss of 94 W



# Parameter Encoding

Table II  
Design Space – Direct Approach

Parameter Number	Description (units)	Min	Max	Encoding
$m_r$	Rotor steel	1	5	Int
$m_s$	Stator steel	1	5	Int
$m_p$	Permanent magnet	1	4	Int
$\phi_i$	Current phase advance (rad)	-1	1	Lin
$\alpha_{pm}$	Permanent magnet fraction	0	1	Lin
$r_r$	Rotor radius (m)	$10^{-3}$	$10^{-1}$	Log
$d_m$	Magnet depth (m)	$10^{-3}$	$5 \cdot 10^{-2}$	Log
$g$	Air gap (m)	$10^{-4}$	$10^{-2}$	Log
$d_s$	Depth of slot (m)	$10^{-3}$	$5 \cdot 10^{-2}$	Log
$\alpha_s$	Fraction of slot region occupied by steel	0.05	0.95	Lin
$d_b$	Backiron depth (m)	$10^{-3}$	0.1	Log
$l$	Active length (m)	$10^{-3}$	0.2	Log
$N_p^*$	Desired peak conductor density (conductors/rad)	10	$10^3$	Log
$\alpha_3^*$	Desired ratio of third harmonic to fundamental conductor density	-0.5	0.5	Lin
$m_c$	Conductor type	1	2	Int
$\alpha_c$	Conductor area (m <sup>2</sup> )	$10^{-7}$	$10^{-4}$	Log
$a_f$	Fillet fraction	0	1	Lin

- Direct Method

$$\boldsymbol{\theta} = \left[ m_r \quad m_s \quad m_p \quad \phi_i \quad \alpha_{pm} \quad r_r \quad d_m \quad g \quad \dots \right. \\ \left. d_s \quad \alpha_s \quad d_b \quad l \quad N_p^* \quad \alpha_3^* \quad m_c \quad a_c \quad a_f \right]^T$$

- Indirect Method

$$\boldsymbol{\theta} = \left[ m_r \quad m_s \quad m_p \quad \phi_i \quad \alpha_{pm} \quad \alpha_{r_r} \quad \alpha_{d_m} \quad \alpha_g \quad \dots \right. \\ \left. \alpha_{d_s} \quad \alpha_s \quad \alpha_{d_b} \quad l \quad \alpha_{N_p} \quad \alpha_{\alpha_3} \quad m_c \quad \alpha_{a_c} \quad a_f \right]^T$$

# Constraints

Table IV  
Design Constraints

Constraint	Description
$c_1(\boldsymbol{\theta}) = \text{ltn}(B_{t,max}, B_{si,max}^*)$	Tooth flux density
$c_2(\boldsymbol{\theta}) = \text{ltn}(B_{b,max}, B_{si,max}^*)$	Backiron flux density
$c_3(\boldsymbol{\theta}) = \text{ltn}(B_{tb,max}, B_{si,max}^*)$	Tooth base flux density
$c_4(\boldsymbol{\theta}) = \text{ltn}(B_{ri,max}, B_{ri,max}^*)$	Rotor iron flux density
$c_5(\boldsymbol{\theta}) = \text{gtn}(H_{m,min}, H_{m,min}^*)$	Demagnetization
$c_6(\boldsymbol{\theta}) = \text{ltn}(I_s / a_c, J_{c,max}^*)$	Current density constraint
$c_7(\boldsymbol{\theta}) = \text{ltn}(\alpha_{sf,max}, \alpha_{sf,max}^*)$	Slot fill constraint
$c_8(\boldsymbol{\theta}) = \text{gtn}(g, k_{gap} r_r)$	Air gap constraint
$c_9(\boldsymbol{\theta}) = \text{gtn}(T_e, T_e^*)$	Torque constraint
$c_{10}(\boldsymbol{\theta}) = \text{ltn}(P_{loss}, P_{max})$	Power loss constraint

# Fitness Function Construction

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- Our Objective

$$\hat{f}(\boldsymbol{\theta}) = \frac{T_e^*}{\pi(r_r + d_m + g + d_b)^2 l}$$

- Continuous Fitness Function

$$f_1(\boldsymbol{\theta}) = \hat{f}(\boldsymbol{\theta})c(\boldsymbol{\theta}) \quad c(\boldsymbol{\theta}) = \prod_{i=1}^C c_i(\boldsymbol{\theta})$$

- Discontinuous Fitness Function

$$f_2(\boldsymbol{\theta}) = \begin{cases} \left( \sum_{i=1}^C c_i \right) - C & c < 1 \\ \hat{f}(\boldsymbol{\theta}) & c \geq 1 \end{cases} \quad c = \min(c_1, c_2, \dots, c_C)$$

# Machine Control

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- Machine connected to a current controlled inverter

$$i_{as} = \sqrt{2}I_s \cos(\theta_r + \phi_i)$$

$$i_{bs} = \sqrt{2}I_s \cos(\theta_r + \phi_i - 2\pi/3)$$

$$i_{cs} = \sqrt{2}I_s \cos(\theta_r + \phi_i + 2\pi/3)$$

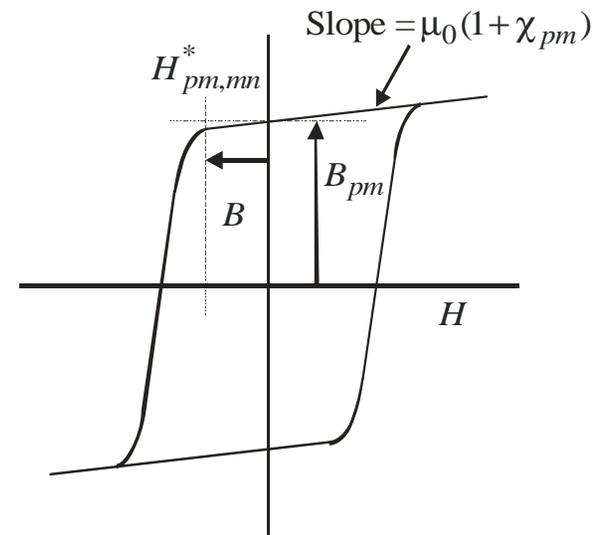
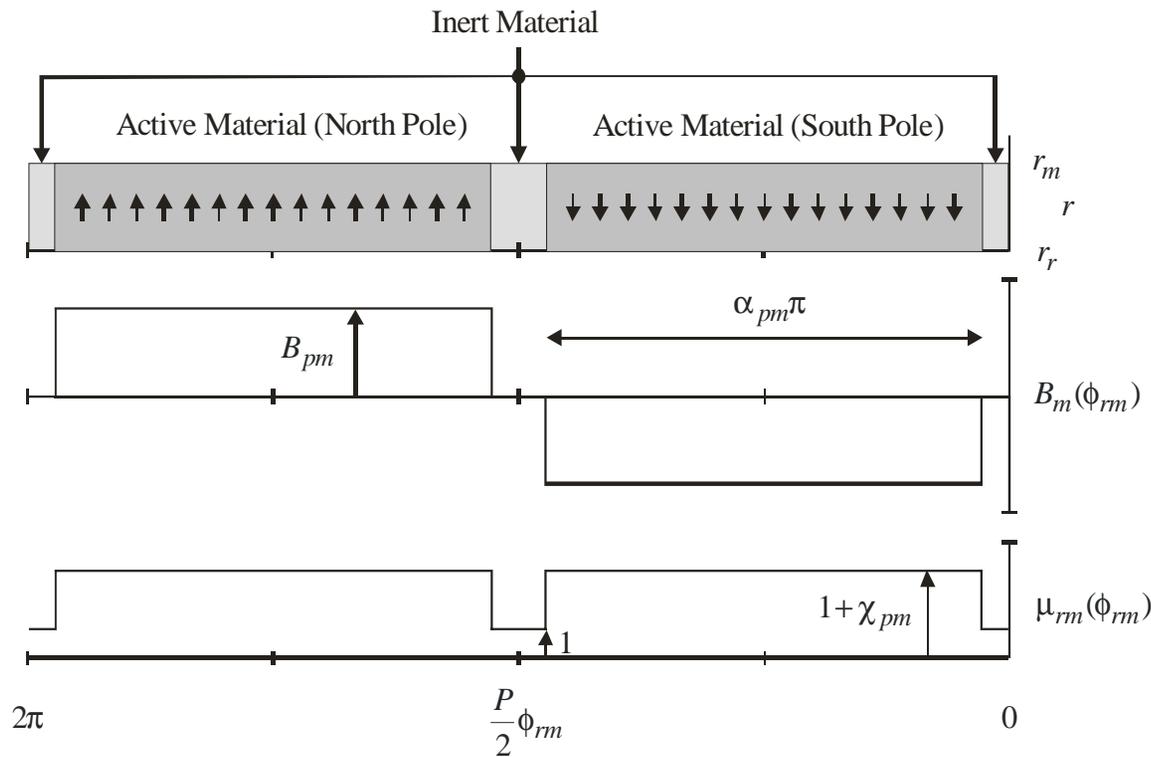
- Conductor distribution: sinusoidal

$$n_{as}(\phi_{sm}) = N_p \left( \sin\left(\frac{P}{2}\phi_{sm}\right) - \alpha_3 \sin\left(3\frac{P}{2}\phi_{sm}\right) \right)$$

$$n_{bs}(\phi_{sm}) = N_p \left( \sin\left(\frac{P}{2}\phi_{sm} - \frac{2\pi}{3}\right) - \alpha_3 \sin\left(3\frac{P}{2}\phi_{sm}\right) \right)$$

$$n_{cs}(\phi_{sm}) = N_p \left( \sin\left(\frac{P}{2}\phi_{sm} + \frac{2\pi}{3}\right) - \alpha_3 \sin\left(3\frac{P}{2}\phi_{sm}\right) \right)$$

# Flux Density in Permanent Magnet



# Flux Density in Air Gap

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$$B(r, \phi_{sm}) = \frac{r_r}{r} \frac{F_m(\phi_{sm}) - i_{enc}(\phi_{sm})}{R_p(\phi_{sm}) + R_g}, \quad r_r \leq r \leq r_s$$

$$i_{enc}(\phi_{sm}) = -\frac{3\sqrt{2}N_p I_s}{P} \cos\left(\frac{P}{2}\phi_{sm} - \theta_r - \phi_i\right)$$

$$R_p(\phi_{sm}) = \frac{r_r}{\mu_0 \mu_{rm}(\phi_{sm} - \theta_{rm})} \ln\left(1 + \frac{d_m}{r_r}\right)$$

$$F_m(\phi_{sm}) = \frac{d_m}{\mu_0 \mu_{rm}(\phi_{sm} - \theta_{rm})} B_m(\phi_{sm} - \theta_{rm})$$

$$R_g = \frac{r_r}{\mu_0} \ln\left(1 + \frac{g}{r_r + d_m}\right)$$

# Key Lumped Parameters

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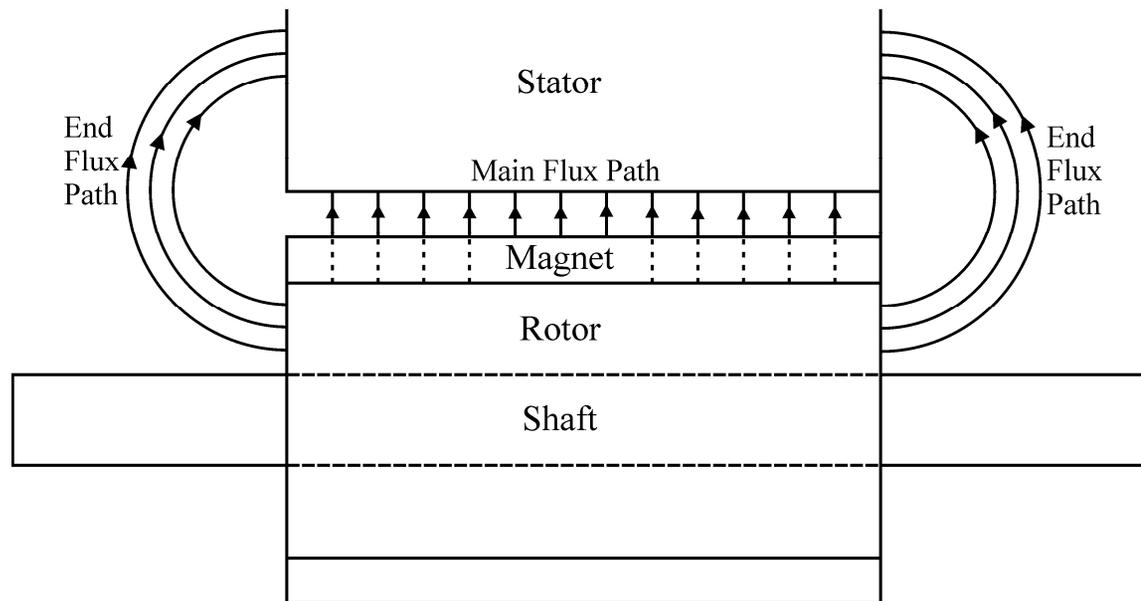
$$L_{qm} = \frac{6l_{eff} r_r N_p^2}{P^2} \left[ \frac{\pi(1 - \alpha_{pm}) + \sin(\pi\alpha_{pm})}{R_{sp} + R_g} + \frac{\pi\alpha_{pm} - \sin(\pi\alpha_{pm})}{R_{pm} + R_g} \right]$$

$$L_{dm} = \frac{6l_{eff} r_r N_p^2}{P^2} \left[ \frac{\pi(1 - \alpha_{pm}) - \sin(\pi\alpha_{pm})}{R_{sp} + R_g} + \frac{\pi\alpha_{pm} + \sin(\pi\alpha_{pm})}{R_{pm} + R_g} \right]$$

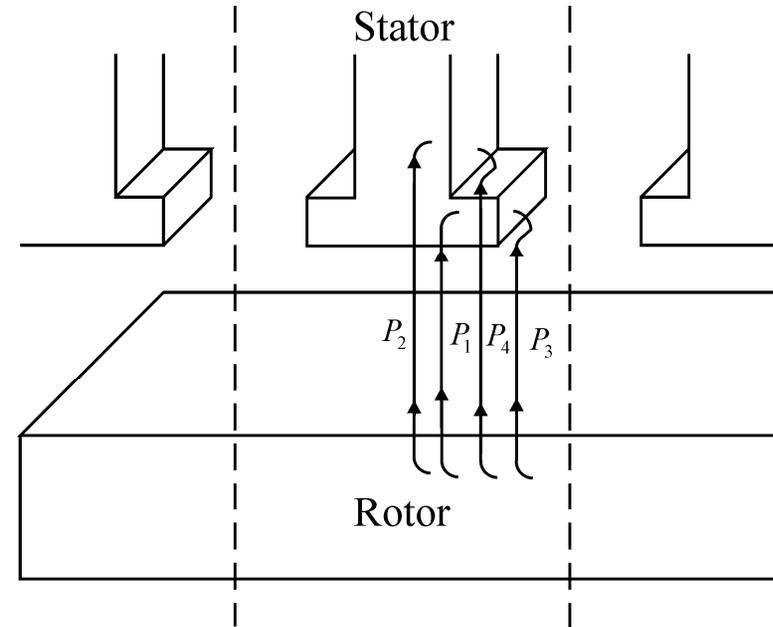
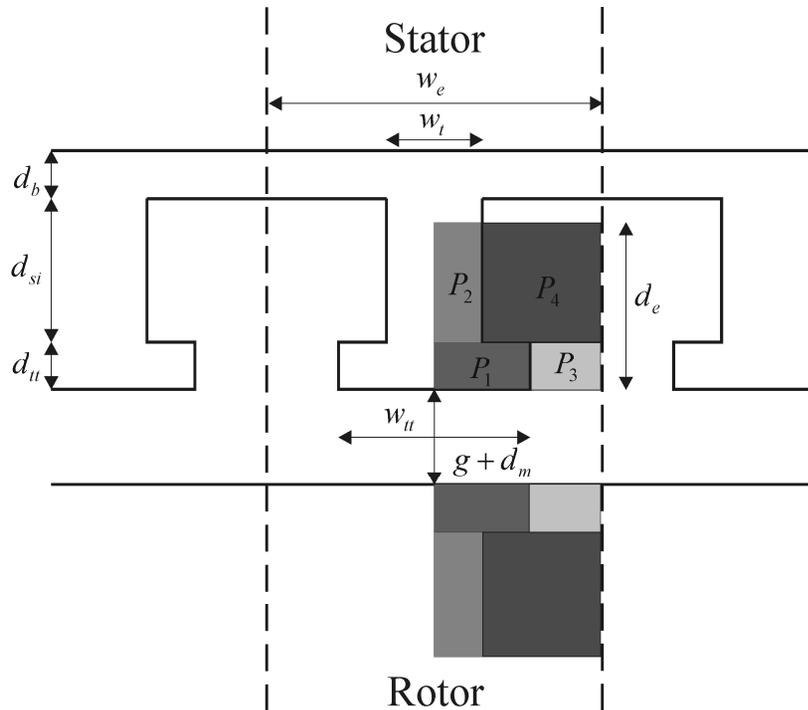
$$\lambda_m = \frac{8r_r l N_p}{P(R_{pm} + R_g)} \frac{d_m}{\mu_0 \mu_{rm}} B_{pm} \sin\left(\frac{\pi\alpha_{pm}}{2}\right)$$

# End Effects on Magnetizing Inductance

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# Axially Compensated Length



$$P_{end} = 2(P_1 + P_2 + P_3 + P_4)$$

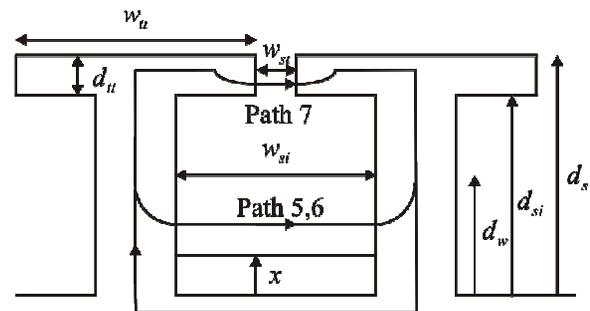
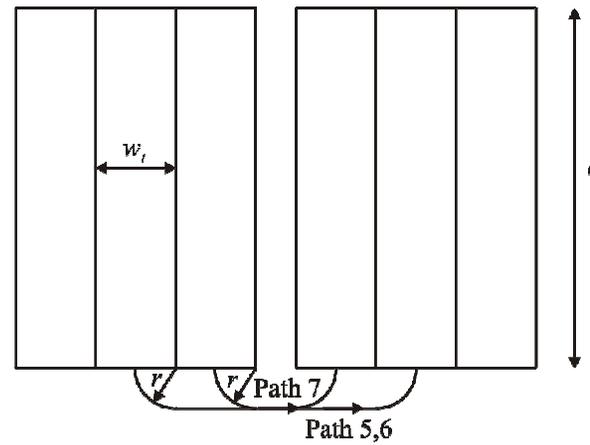
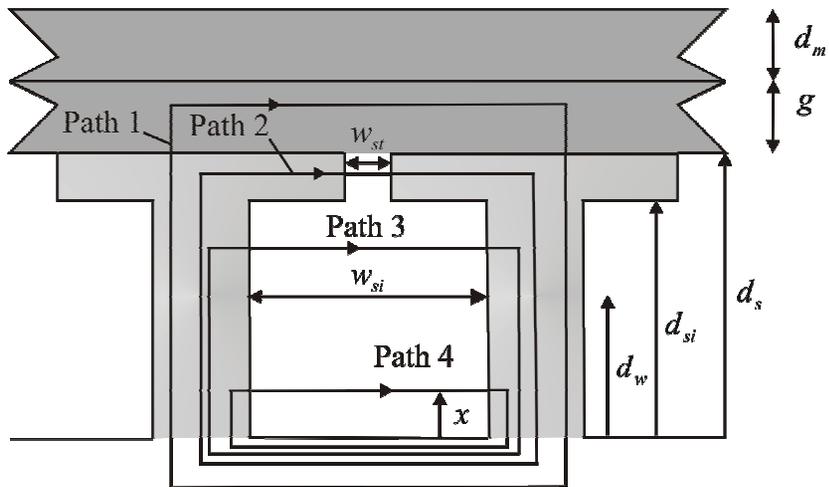
$$P_1 = \frac{\mu_0 w_1}{\pi} \ln \left( 1 + \frac{\pi d_1}{g_1} \right)$$

$$P_2 = \frac{\mu_0 w_2}{\pi} \ln \left( 1 + \frac{\pi d_2}{g_2} \right)$$

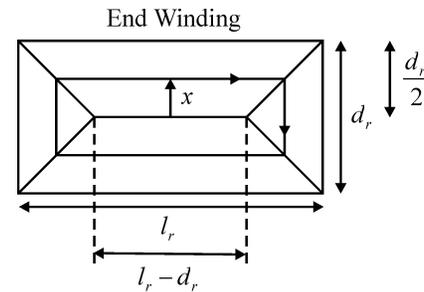
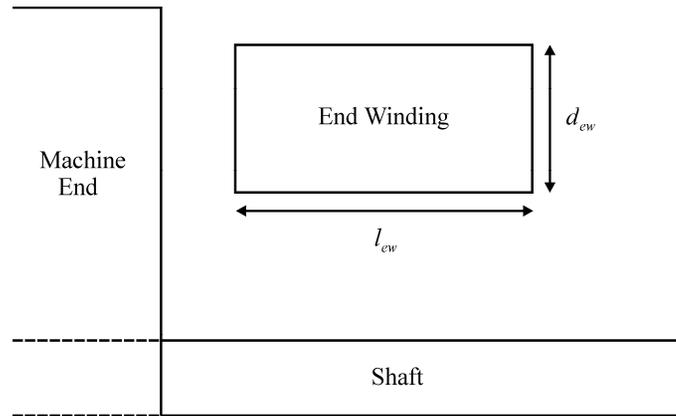
$$P_3 = \frac{\mu_0}{\pi^2} \begin{bmatrix} 2\pi d_3 \ln \left( 1 + \frac{\pi w_3}{2g_3 + 2\pi d_3} \right) \\ + (\pi w_3 + 2g_3) \ln \left( 1 + \frac{2\pi d_3}{\pi w_3 + 2g_3} \right) \\ - 2g_3 \ln \left( 1 + \frac{\pi d_3}{g_3} \right) \end{bmatrix}$$

$$P_4 = \frac{\mu_0}{\pi^2} \begin{bmatrix} 2\pi d_4 \ln \left( 1 + \frac{\pi w_4}{2g_4 + 2\pi d_4} \right) \\ + (\pi w_4 + 2g_4) \ln \left( 1 + \frac{2\pi d_4}{\pi w_4 + 2g_4} \right) \\ - 2g_4 \ln \left( 1 + \frac{\pi d_4}{g_4} \right) \end{bmatrix}$$

# Slot Leakage Permeance



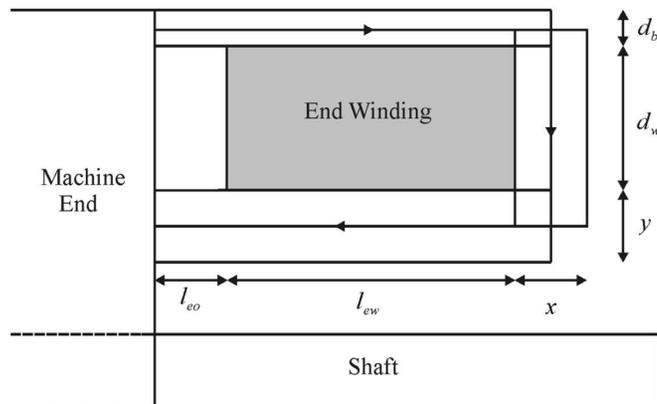
# End Leakage Permeance



$$l_r = \max(l_{ew}, d_{ew})$$

$$d_r = \min(l_{ew}, d_{ew})$$

$$P_{el,1} = \frac{\mu_0 L_{seg}}{d_r^2 l_r^2} \left[ \frac{d_r^4}{32} + \frac{d_r^3}{16} (l_r - d_r) + \frac{d_r^2}{64} (l_r - d_r)^2 - \frac{d_r}{64} (l_r - d_r)^3 + \frac{1}{128} (l_r - d_r)^4 \ln \left( 1 + \frac{2d_r}{l_r - d_r} \right) \right]$$

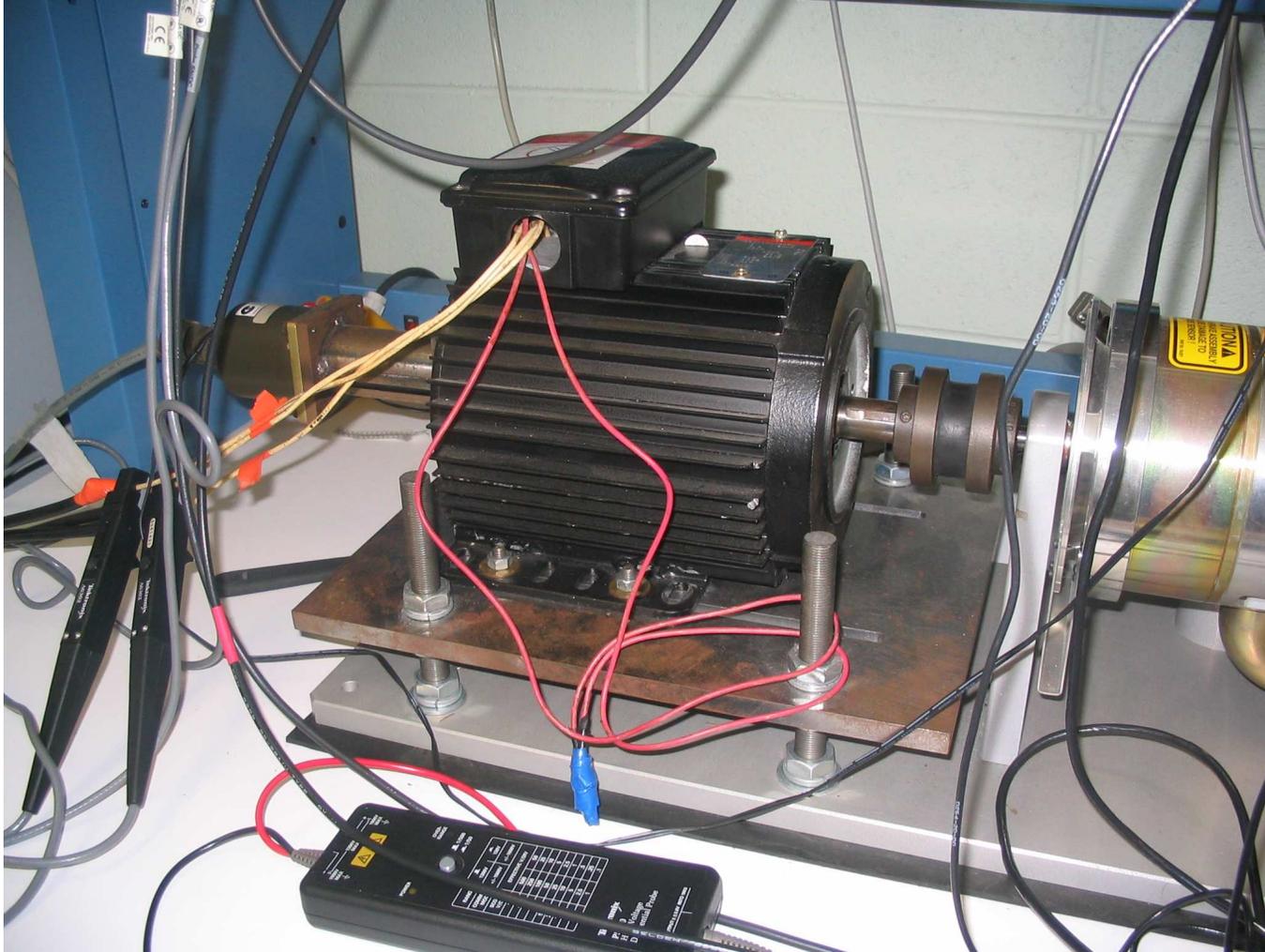


$$R = \frac{(l_{eo} + l_{ew} + x)}{d_b L_{seg} \mu_0} + \frac{\left( d_w + \frac{d_b}{2} + y \right)}{2x L_{seg} \mu_0} + \frac{(l_{eo} + l_{ew} + x)}{2y L_{seg} \mu_0}$$

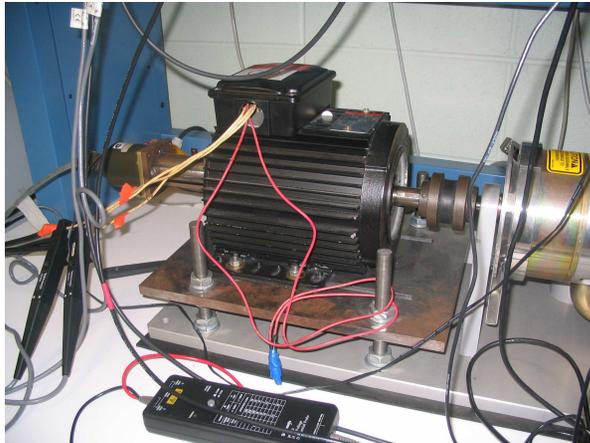
$$P_{el,2} = \frac{1}{R_{\min}}$$

# Hardware Validation

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# Hardware Validation

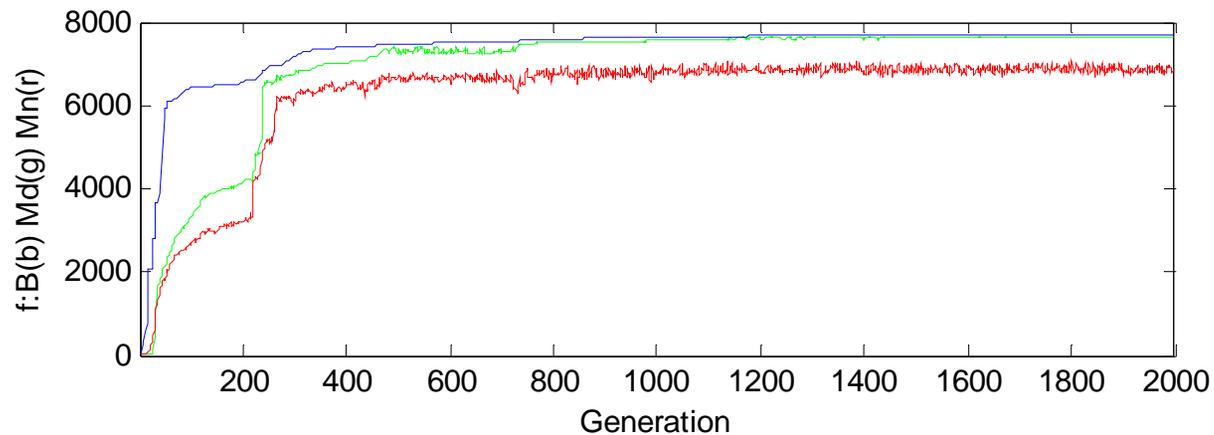
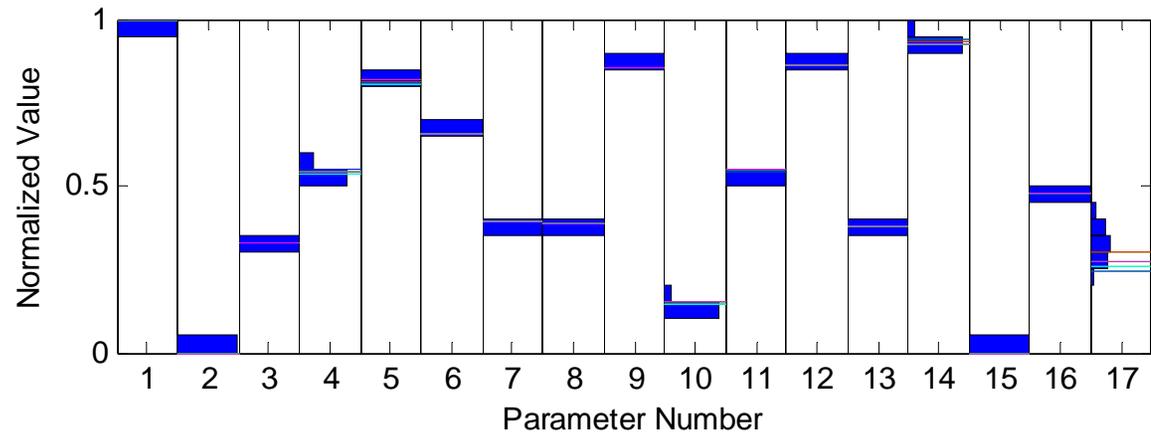


Par.	Machine A	Machine B	Machine C	Machine D	Machine E	Calc. Value	Percent Error
$R_s$	2.22 $\Omega$	2.29 $\Omega$	3.1%				
$\lambda_m$	.295 Vs	.295 Vs	.295 Vs	.293 Vs	.295 Vs	.308 Vs	4.2%
$L_q$	16.45 mH	15.88 mH	15.69 mH	16.20 mH	16.06 mH	15.19 mH	5.4 %
$L_d$	14.38 mH	14.87 mH	15.19 mH	14.48 mH	14.73 mH	15.26 mH	3.6 %

# Sample Design

## (Direct Encoding; Discontinuous Fitness)

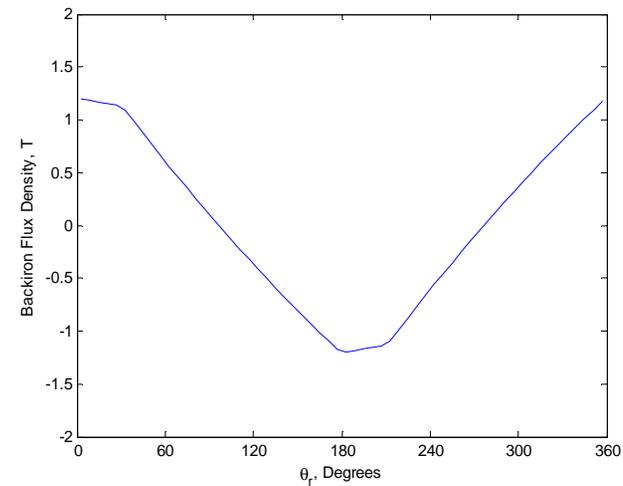
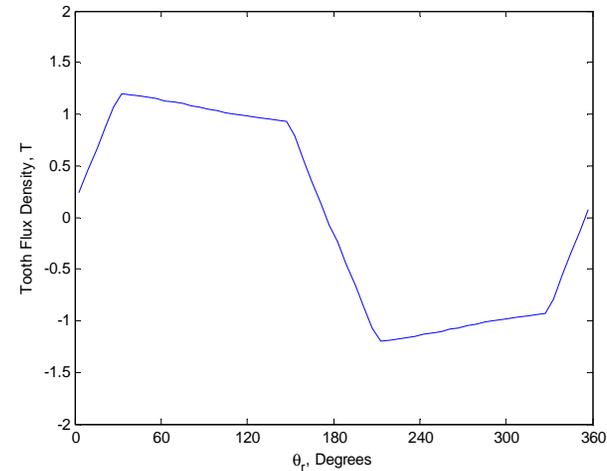
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# Sample Design

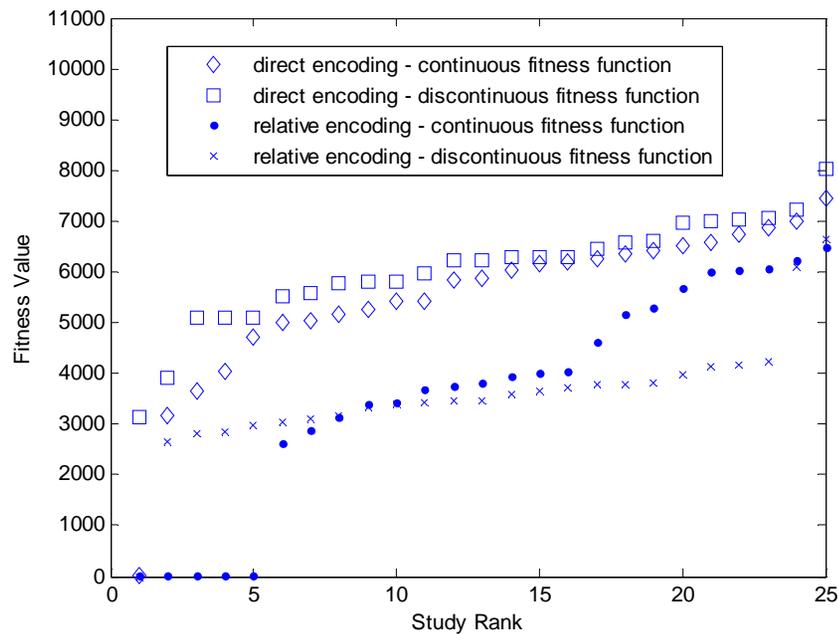
Table IV  
Design Parameters

Rotor Material Type	M47	Slot Depth (cm)	2.80
Stator Material Type	M19	Depth of Backiron (cm)	1.24
Permanent Magnet Type	NdFeB-30	Active Length (cm)	9.49
Current Phase Advance (Degrees)	4.16	Fundamental Conductor Density (conductors/rad)	57.5
Permanent Magnet Fraction (Percent)	82.5	3 <sup>rd</sup> Harmonic Turns Density	40.7
Slot Fraction (Percent)	18.2	Conductor Type	Copper
Rotor Iron Radius (cm)	2.05	Conductor Area (mm <sup>2</sup> )	2.67
Permanent Magnet Depth (cm)	0.46	Fillet Fraction	0.26
Air Gap (mm)	0.59		

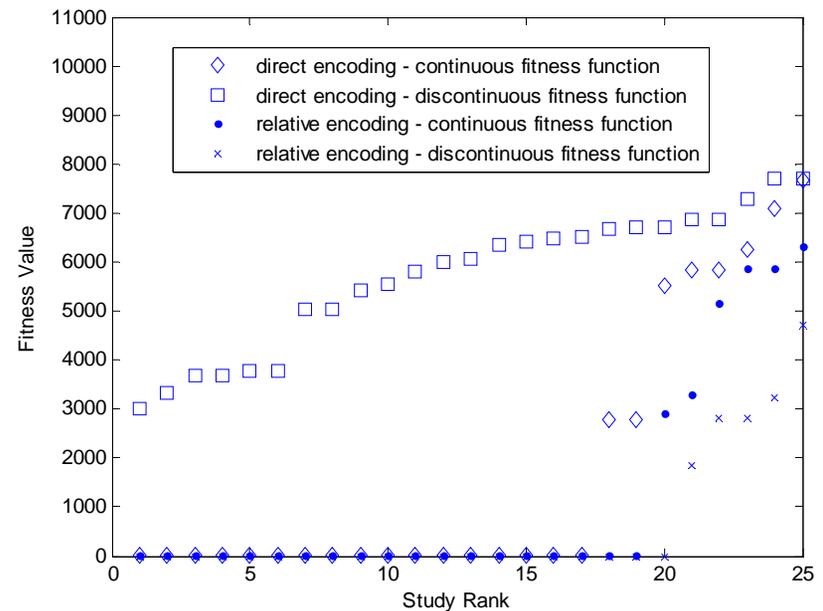


# Algorithm Comparisons

## One Region / Neighborhood



GA  
Population Size: 2000  
Generations: 2000  
Evaluations: 2,400,000

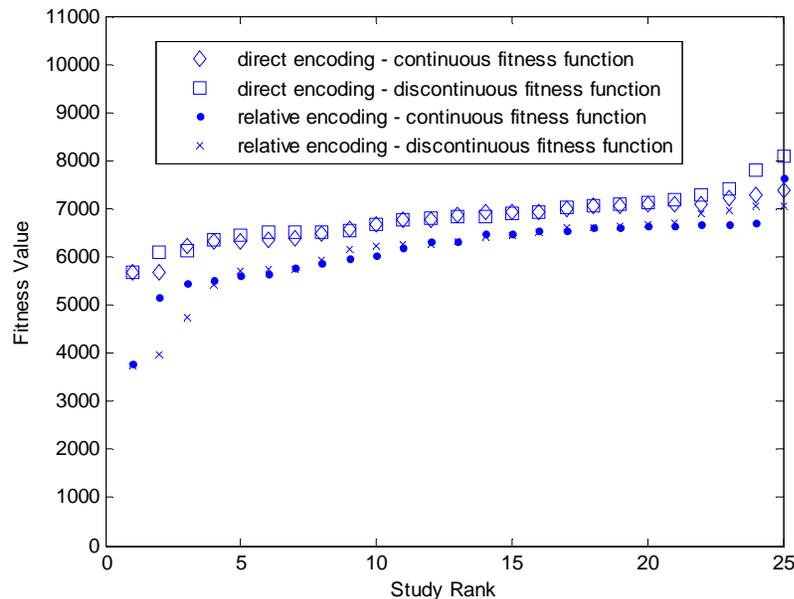


PSO  
Swarm Size: 1549  
Iterations: 1549  
Evaluations: 2,400,000

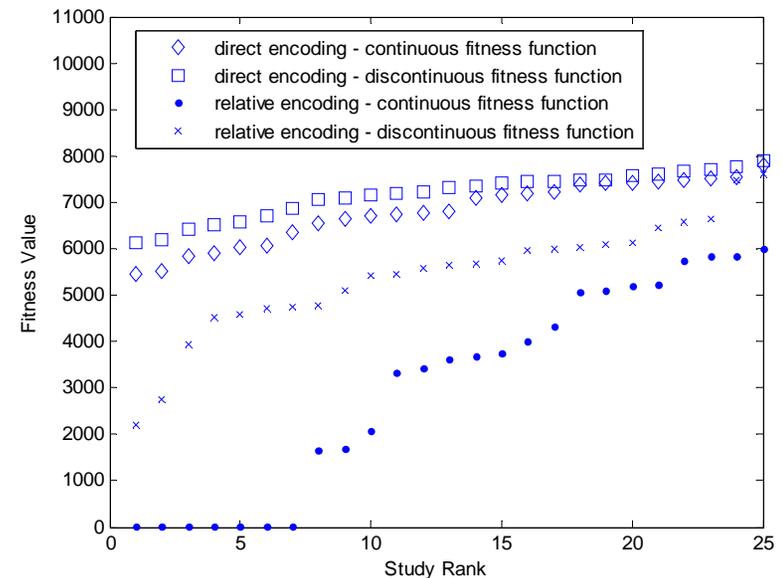
# Algorithm Comparisons

## Thirty Regions / Neighborhoods

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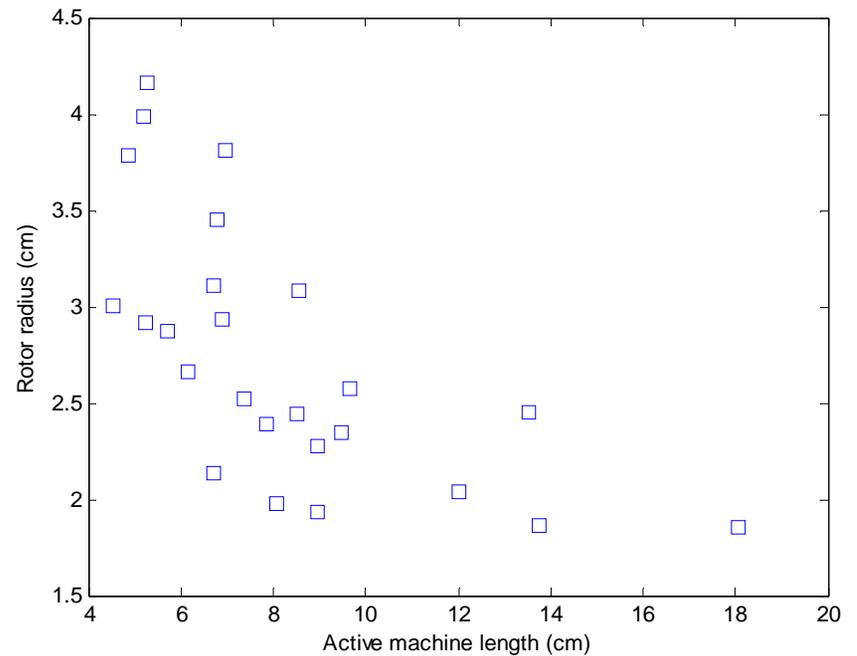
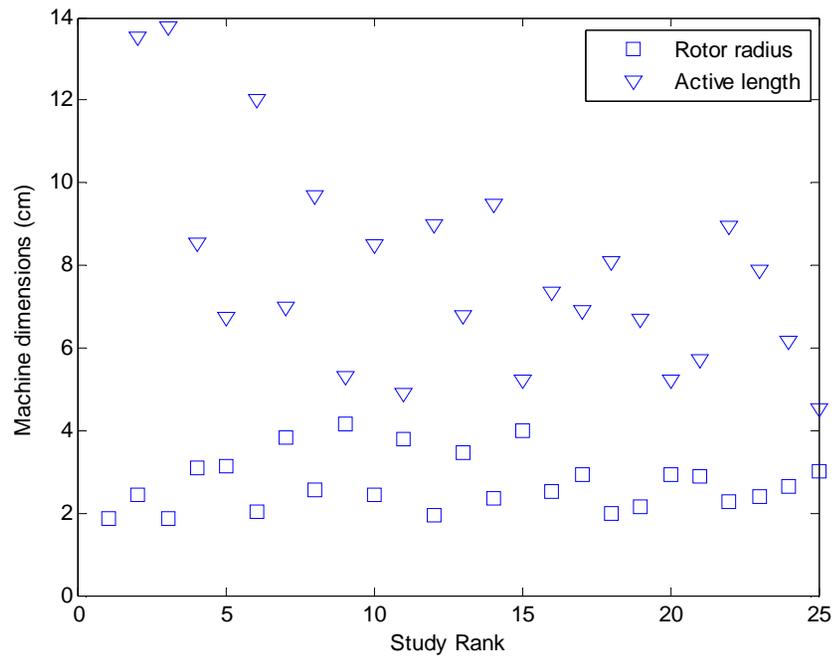
GA  
Population Size: 2000  
Generations: 2000  
Evaluations: 2,400,000



PSO  
Swarm Size: 1549  
Iterations: 1549  
Evaluations: 2,400,000

# Design Uniqueness (GA, Direct Enc., Disc. Fitness)

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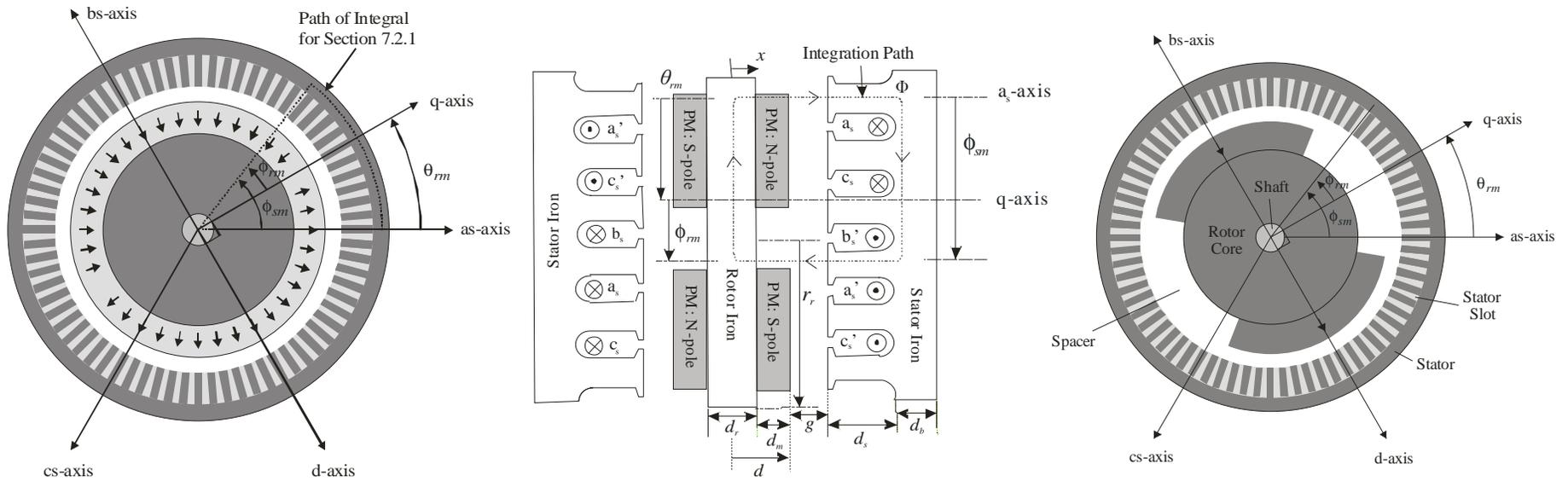


# Design a Soldier Portable Generator

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- Specifications
  - 400 V dc Bus
  - 3 kW Electrical Output Power

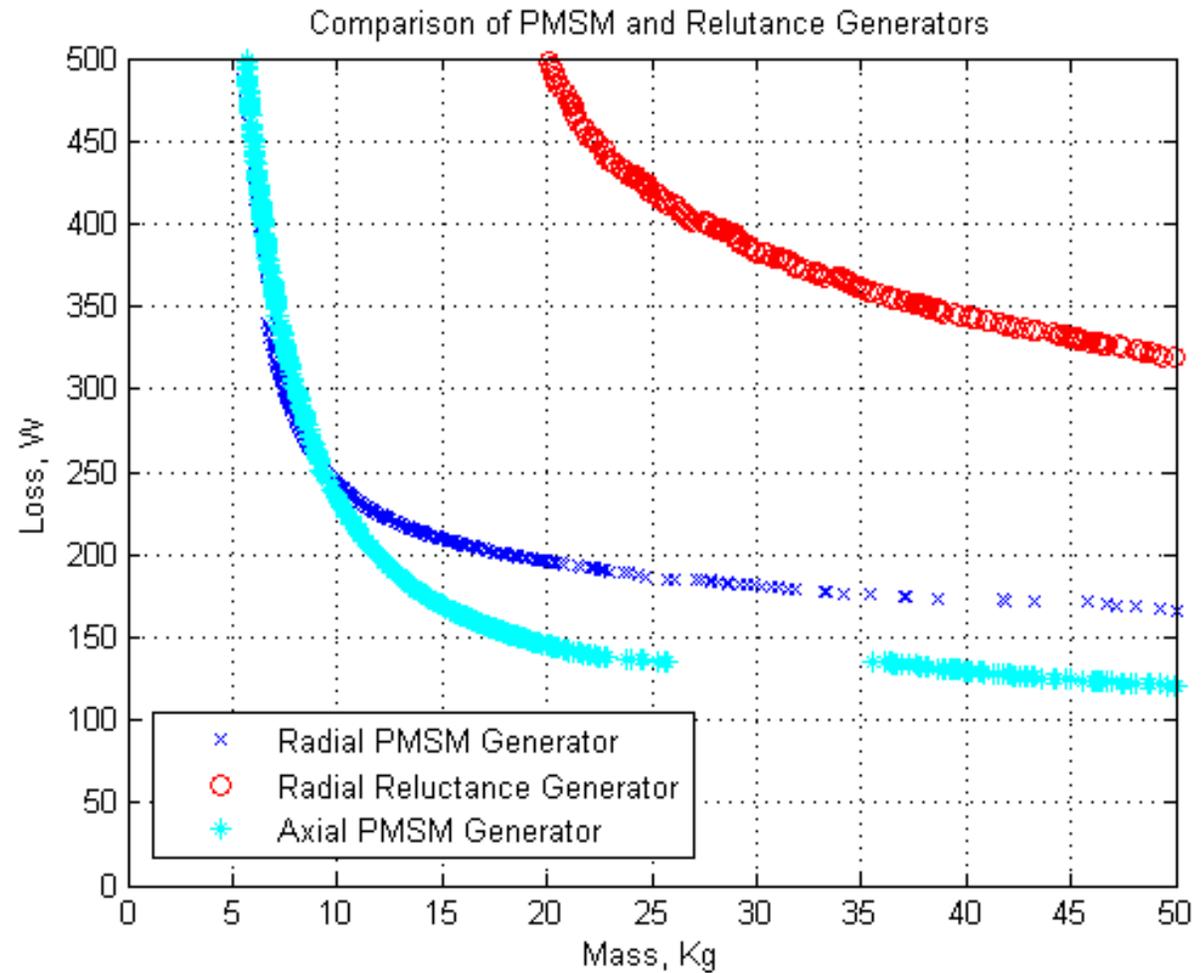
# Candidate Designs



# Machine Comparison

$$\bar{c} = \frac{1}{N} \sum_{n=1}^N c_n$$

$$f = \begin{cases} \begin{bmatrix} \varepsilon(\bar{c}-1) \\ 1 \\ 1 \end{bmatrix} & \bar{c} < 1 \\ \begin{bmatrix} 1 \\ m \\ 1 \\ P_{loss} \end{bmatrix} & \bar{c} \geq 1 \end{cases}$$



# Selecting a Specific Design

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- Approach 1: Pick one
  - Advantage: easy
  - Disadvantage: may not be on the front
- Approach 2: Run a single objective optimization with added constraint on loss

# Single Objective Optimization

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- For 2 kW design

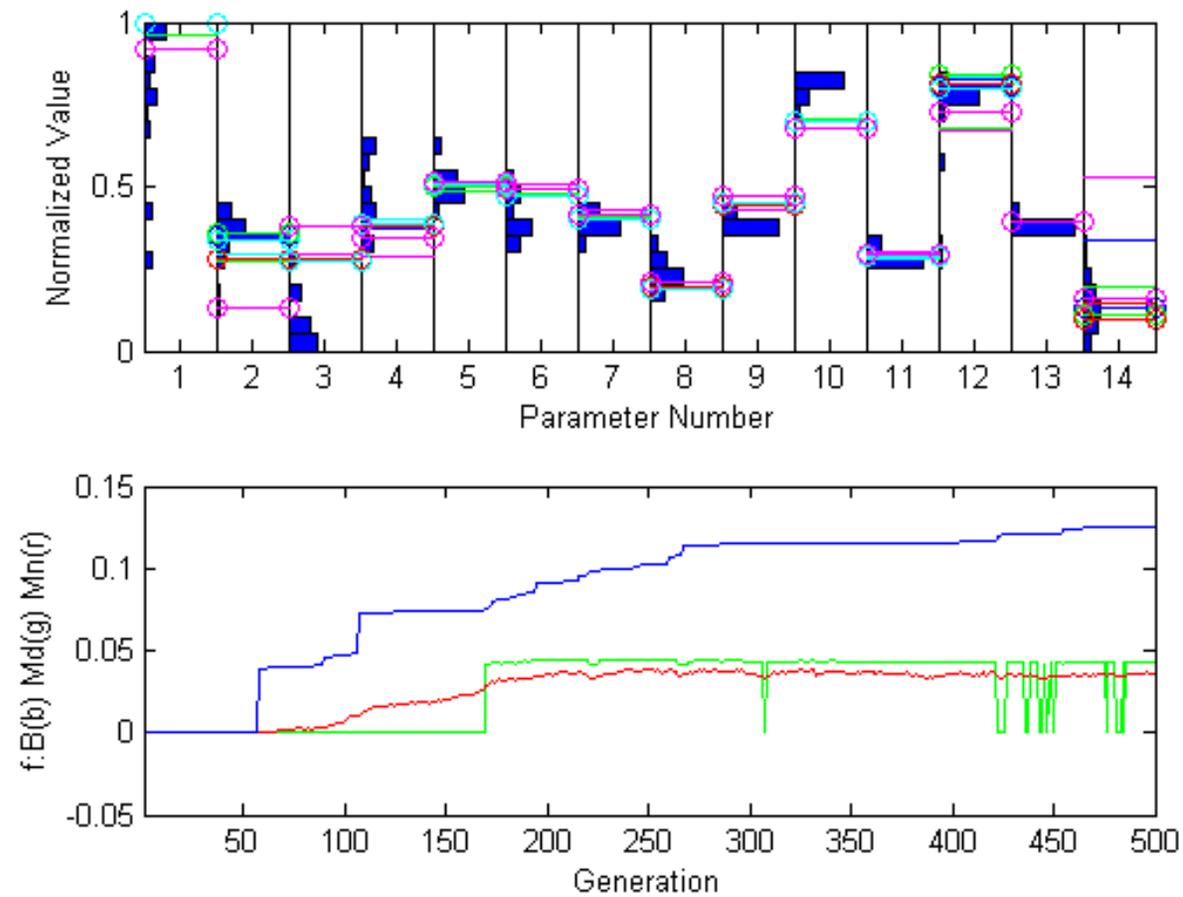
$$\bar{c} = \frac{1}{N} \sum_{n=1}^N c_n$$

$$f = \begin{cases} \varepsilon(\bar{c} - 1) & \bar{c} < 1 \\ \frac{1}{m} e^{\left( \frac{P_{req} - P_{out}}{P_{req}} \right)} & \bar{c} \geq 1 \end{cases}$$

-Added constraint  
of loss of 257 W  
-90% efficiency for  
generator / rectifier

# Design Evolution

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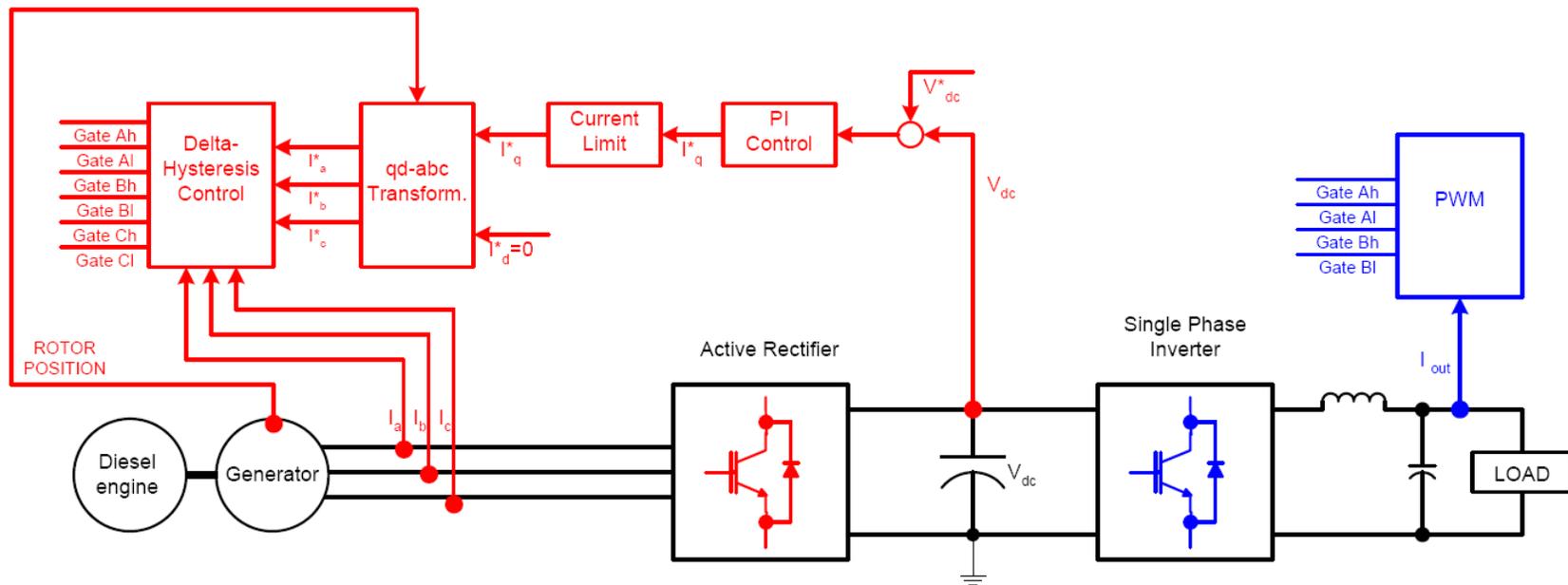


# Design Report

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- **Mass: (kg)7.9715**
- Rotor Steel Mass (less shaft) (kg): 1.2523
- Stator Iron Mass (kg): 4.8969
- Stator Copper Mass (kg): 1.4794
- Magnet Mass (kg): 0.34289
- Rotor Material Type: M19
- Stator Material Type: M19
- Permanent Magnet Type: NdFeB-30
- RMS Line Current (A): 13.5153
- Current Phase Advance (Degrees): 171.332
- Permanent Magnet Fraction (Percent): 36.5488
- Slot Fraction (Percent): 24.0531
- Rotor Iron Radius (cm): 3.1633
- Permanent Magnet Depth (cm): 0.73316
- Air Gap (mm): 1.0185
- Slot Depth (cm): 2.1585
- Depth of Backiron (cm): 0.96692
- Active Length (cm): 7.8083
- Minimum Total Length (cm)12.4086
- Outside Diameter(cm): 14.2473
- Number of Slots: 24
- Fundamental Turns Density (turns/rad): 40.9794
- 3rd Harmonic Turns Density (percent): 21.97
- Conductor Type: Copper
- Conductor Area (mm<sup>2</sup>): 2.0816
- Slot Opening: 2.5173 mm
- American Wire Gauge: 14
- Stator Tooth Flux Density / Saturation Point: 0.99082
- Stator Tooth Base Flux Density / Saturation Point: 0.95629
- Stator Backiron Flux Density / Saturation Point: 0.98946
- Permanent Magnet Demagnetization: 0.493
- Current Density / Allowable Current Density: 0.85207
- Maximum Slot Fill / Allowed Slot Fill: 0.55345
- Air Gap / Minimum Allowed Air Gap: 3.2199
- RMS L-N Voltage / Maximum Allowed Voltage: 0.83949
- Power Factor = 0.99276
- Torque: -6.6951
- Corrected Torque: -6.7866
- Conduction Losses: 120.8122
- Core Losses in Teeth: 14.1565
- Core Losses in Backiron: 20.0615
- Total Machine Loss: 155.0302
- Rectifier Loss: 73.0084
- Rectifier Efficiency: 0.96935
- Machine Efficiency: 0.9389
- **Stator Resistance (Ohms) = 0.22046**
- **Q-Axis Inductance (mH) = 1.6345**
- **D-Axis Inductance (mH) = 1.6345**
- **Flux Linkage Due to PM (Vs) = 0.11811**
- Q-Axis Voltage (V) = 80.624
- D-Axis Voltage (V) = 22.4575
- RMS l-n Voltage (V) = 59.1801
- Q-Axis Current (A) = -18.8952
- D-Axis Current (A) = -2.8806
- RMS Current (A) = 13.5153
- Electrical Power Out (W) = 2382.153

# Power Electronics / Control

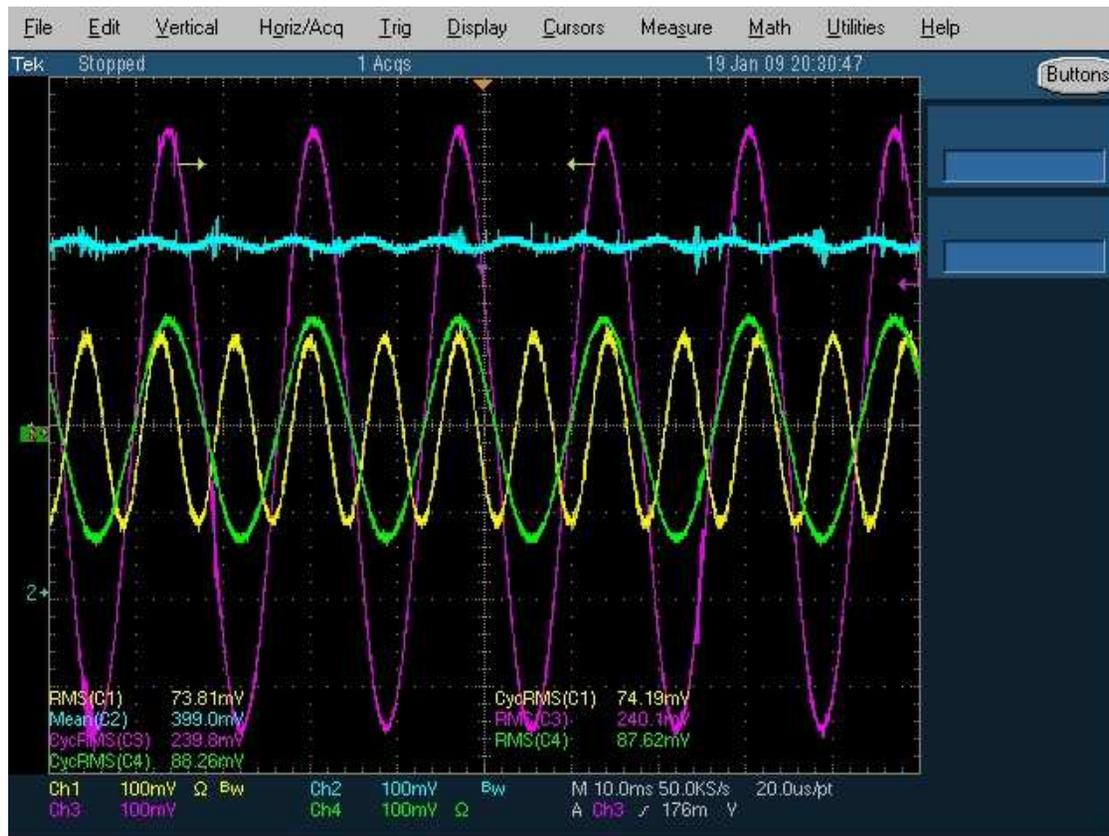


# Packaged Hardware

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# System Waveforms



Purple: Output voltage (500 V/V)

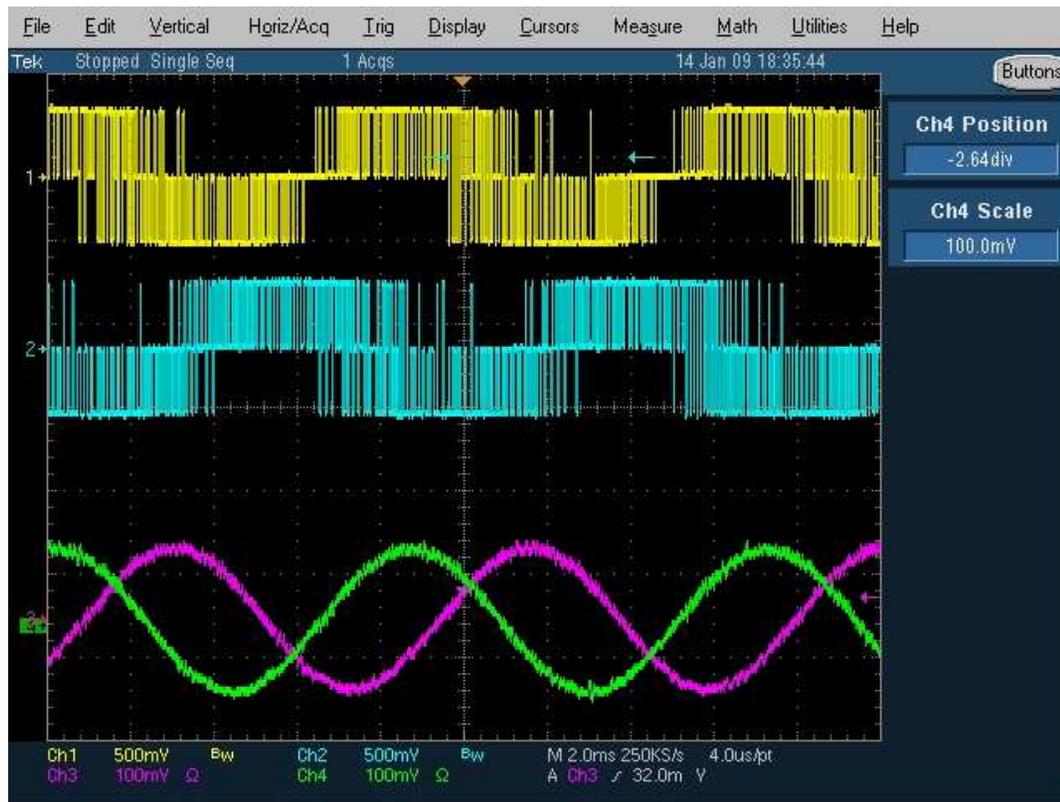
Blue:DC-link voltage (500 V/V)

Green: Output current (200 A/V)

Yellow: Alt. current (200 A/V)

2.1 kW Output

# System Waveforms



Yellow: a-b voltage(500V/V)

Blue: b-c voltage (500 V/V)

Green: phase-a current (200 A/V)

Yellow: phase-b current (200 A/V)

2.1 kW Output

# Performance Summary

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- Alternator
  - Average Torque (estimated using phase currents/voltage) ~ 6.653 Nm
  - Input Average Power @3509 rpm =  $T_e \cdot \text{speed}$  ~ 2445 W
  - Output Power at Terminals = 2332 W
  - Efficiency ~ 95%
- Combined Active Rectifier/Inverter
  - Power Output = 2109 W
  - Power Input ~ 2332 W
  - Efficiency ~ 90%
- Overall Efficiency ~ 85%
- Mass
  - Alternator (Engine Mount) = 11.1 kg
  - Active Rectifier/Inverter (with enclosure) = 2.2 kg
  - Total = 13.3 kg

Baseline System  
Efficiency ~ 72%  
Mass = 17.8 kg

*Savings Per Year:*  
*\$ 15 Million*

# Model Fidelity

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Parameter	Design Code	Modified Code	Constructed Machine
Flux Linkage due to PM (Vs)	0.118	0.118	0.115
Stator Winding Resistance (Ohm)	0.205	0.22	0.24
<i>q</i> -axis Stator Inductance (mH)	1.37	1.63	1.65
<i>d</i> -axis Stator Inductance (mH)	1.37	1.63	1.65
Magnetic Mass of Machine (kG)	7.99	7.97	8.5
Machine Efficiency (%)	94.2	93.8	95.3

Code modifications:

End winding Offset (1.5 rather than 1 cm)

Packing Factor Assumptions (0.7 rather than 0.4)

Rectifier/Inverter Efficiency Predicted in System Simulation (Neglecting Switching Losses) = 92%

Measured Rectifier/Inverter Efficiency = 90 %

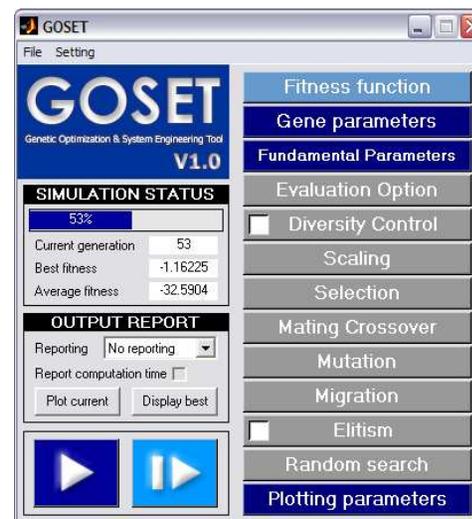
# Opinions

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- Evolutionary design will become standard methodology for electromagnetic / electromechanical design
- Analytical and magnetic equivalent circuit methods are still important in the design process
- Use of multiple regions / neighborhoods is clearly beneficial
- Discontinuous fitness function with direct encoding seemed to be most effective
- Machines classes should be compared in terms of Pareto-Optimal fronts
- GA/PSO/Simulated Annealing/Bacteria Foraging/ etc. debate will go on forever.

# GOSET

- **GOSET** stands for **Genetic Optimization System Engineering Tool**
- GOSET is a MATLAB based genetic algorithm toolbox for solving optimization problems
- GOSET Features
  - Wide range of choices for genetic operators
  - Single-objective optimization
  - Multi-objective optimization
  - Modular Structure
  - GUI Interface
  - GOSET DLL
- Available (at not cost) at:



<http://cobweb.ecn.purdue.edu/~sudhoff/Software%20Distribution/index.html>

# Application Examples

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